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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Cynthia Florence Dmochowski, et al.

Application No./Patent No.: 10/010,656 Filed/Issue Date: 12/06/2001

Entitled: ONLINE CAPITAL APPROVAL PROCESS

Agere Systems Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012377, Frame 0156, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

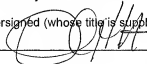
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

August 16, 2007

Date

David H. Hitt

Printed or Typed Name

972-480-8800

Telephone Number

Attorney for Applicant
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEBRUARY 08, 2002

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DMOCHOWSKI, CYNTHIA FLORENCE

DOC DATE: 09/13/2001

ASSIGNOR:

KIMBALL, JACOB R.

DOC DATE: 08/09/2001

ASSIGNOR:

MARGERUM, SCOTT A.

DOC DATE: 08/14/2001

ASSIGNOR:

FERNANDEZ, NELSON LEROY

DOC DATE: 08/11/2001

ASSIGNEE:

AGERE SYSTEMS INC.
555 UNION BOULEVARD
ALLENTOWN, PENNSYLVANIA 18109

SERIAL NUMBER: 10010656
PATENT NUMBER:

FILING DATE: 12/06/2001
ISSUE DATE:

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JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
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12-21-2001

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To the Honorable Commission.
documents or copy thereof.

Please record the attached original

1. Name of conveying party(ies):

Cynthia Florence Dmochowski

Jacob R. Kimball

Scott A. Margerum

Nelson LeRoy Fernandez

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 13, 2001, August 9, 2001,
 August 14, 2001, August 11, 2001, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 27, 2001, September 24, 2001,
 October 3, 2001, September 22, 2001, respectively

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning document
should be mailed:

IP Department
 Schnader Harrison Segal & Lewis
 1600 Market Street, 36th Floor
 Philadelphia, PA 19103

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account No. 501735

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
 of the original document.

Albert T. Keyack

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 7



ASSIGNMENT AND AGREEMENT

For value received, we, Cynthia Florence Dmochowski of Wyomissing in the County of Berks and State of Pennsylvania, Jacob R. Kimball of Whitehall in the County of Lehigh and State of Pennsylvania, Scott A. Margerum of Hellertown in the County of Lehigh and State of Pennsylvania, Nelson LeRoy Fernandez of Macungie in the County of Lehigh and State of Pennsylvania, hereby sell, assign and transfer to Agere Systems Inc., a corporation of the State of Delaware, having an office at 555 Union Boulevard, Allentown, PA 18109, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **ONLINE CAPITAL APPROVAL PROCESS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Agere Systems Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Agere Systems Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Agere Systems Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Agere Systems Inc. in the United States and in all countries foreign to the United States, or to such nominees as Agere Systems Inc. may designate.

We agree that, when requested, we shall, without charge to Agere Systems Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Cynthia Florence Dmochowski
Cynthia Florence Dmochowski

Date: 9/13/01

United States of America)

State of Penna) ss.:

City of Lehigh)

On this 13th day of September, 2001, before me personally came
Cynthia Florence Dmochowski, to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged execution of the same.

Doreen Micheleletti
Notary Public



Paul Kimball
Jacob Kimball

Date: August 9 2001

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 9th day of August, 2001, before me personally came **Jacob Kimball**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Perma L. Hoch
Notary Public





Scott A. Margerum

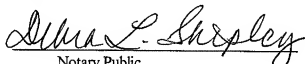
Date: 8/14/01

United States of America)

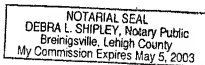
~~Commonwealth of the~~ State of Pennsylvania) ss.:

County of Lehigh)

On this 14th day of August, 2001, before me personally came
Scott A. Margerum, to me known to be the individual described in and who executed the foregoing
instrument, and acknowledged execution of the same.



Notary Public



Agere Systems Inc.
555 Union Boulevard
Allentown, Pennsylvania 18109

Nelson L. Fernandez
Nelson L. Fernandez

Date: AUGUST 11, 2001

United States of America)

State of PENNSYLVANIA) ss.:

County of LEHIGH)

On this 11th day of AUGUST, 2001, before me personally came Nelson L. Fernandez, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Dorothy E. Smits
Notary Public

